

For a real function $f : \mathbb{R} \rightarrow \mathbb{R}$ we denote by $f'_+(t)$ the right derivative of f at t when it exists, that is,

$$f'_+(t) = \lim_{r \downarrow 0} \frac{f(t+r) - f(t)}{r}.$$

Lemma 0.1 Let $h, v \in X$, with $\|v\| > 1$ and $\|h\| = 1$ and v and h are linearly independent, $\beta > 1$ and $s \geq 0$. Consider the functions $f, g : \mathbb{R} \rightarrow \mathbb{R}$ defined by

$$f(t) = \|tv + \beta h\| - \|tv + 2sv + h\|, \quad g(t) = \|tv - \beta h\| - \|tv + 2sv - h\|.$$

Then f and g are differentiable and satisfying :

- f is non-decreasing on $] -\infty, -\frac{2s\beta}{\beta-1}]$ ($(f'_+ t) \geq 0, \forall t \leq 0$) and non-increasing on $[-\frac{2s\beta}{\beta-1}, +\infty[$ ($(f'_+ t) \leq 0, \forall t \geq -\frac{2s\beta}{\beta-1}$).
- g is non-decreasing on $] -\infty, -\frac{2s\beta}{\beta-1}]$ ($(g'_+ t) \geq 0, \forall t \leq -\frac{2s\beta}{\beta-1}$) and non-increasing on $[-\frac{2s\beta}{\beta-1}, +\infty[$ ($(g'_+ t) \leq 0, \forall t \geq -\frac{2s\beta}{\beta-1}$).
- for all $t \geq 0$

$$f(t) \geq (\beta - 1)\nu'(v; h) - 2s\|v\|, \quad \text{and} \quad g(t) \geq (\beta - 1)\nu'(v; -h) - 2s\|v\|,$$

where $\nu'(v; \cdot)$ denotes the usual directional derivative of the convex function $\nu := \|\cdot\|$.

Proof. We study only the function f since the result for g is obtained in changing h in $-h$. It is not difficult to see that $\nu'(ru; v) = \nu'(u; v)$ for all $u, v \in X$. So, for all $t \neq 0$,

$$f'_+(t) = \nu'(tv + \beta h; v) - \nu'(tv + 2sv + h; v) = \nu'\left(\frac{t}{\beta}v + h; v\right) - \nu'(tv + 2sv + h; v).$$

From the continuity of the convex function ν , choose $x_1^* \in \partial\nu\left(\frac{t}{\beta}v + h\right)$ and $x_2^* \in \partial\nu(tv + 2sv + h)$ such that

$$\langle x_1^*, v \rangle = \nu'\left(\frac{t}{\beta}v + h; v\right) \quad \text{and} \quad \langle x_2^*, v \rangle = \nu'(tv + 2sv + h; v).$$

Consequently,

$$f'_+(t) = \frac{\beta}{(1-\beta)t - 2s\beta} \langle x_1^* - x_2^*, \left(\frac{t}{\beta}v + h\right) - (tv + 2sv + h) \rangle,$$

hence the monotonicity of the subdifferential $\partial\nu$ ensures that

$$f'_+(t) \geq 0 \quad \text{for all } t \leq -\frac{2s\beta}{\beta-1} \quad \text{and} \quad f'_+(t) \leq 0 \quad \text{for all } t \geq -\frac{2s\beta}{\beta-1}.$$

We deduce that f is non-decreasing on $] -\infty, -\frac{2s\beta}{\beta-1}]$ and non-increasing on $[-\frac{2s\beta}{\beta-1}, +\infty[$. The last item results from the following

$$\begin{aligned} \lim_{t \rightarrow +\infty} f(t) &\geq -2s\|v\| + \lim_{\tau \rightarrow 0^+} \frac{\|v + \tau\beta h\| - \|v\|}{\tau} - \frac{\|v + \tau h\| - \|v\|}{\tau} \\ &= -2s\|v\| + \beta\nu'(v; h) - \nu'(v; h) = (\beta - 1)\nu'(v; h) - 2s\|v\|. \end{aligned}$$

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The following lemma whose proof is similar to that of Lemma 2.2 in [1] will be used later.

Lemma 0.2 Let $\varepsilon > 0$, $u, h \in X$, with $\|u\| = 1$ and $0 < \|h\| \leq \min(1, \frac{\varepsilon}{4})$, satisfying

$$\|u + h\| > \|u - h\|, \quad \frac{\|u + h\| + \|u - h\| - 2}{\|h\|} \geq \varepsilon. \quad (0.1)$$

Then there exists $s \in]0, \|h\|]$ such that

- $\|u + h - su\| = \|u - h + su\|$ and
- $\frac{\|u + h - su\| - 1}{\|h - su\|} \geq \frac{\varepsilon}{8}$.

Theorem 0.1 *Let X be a Banach space. Then the following assertions are equivalent :*

1. *The norm $\|\cdot\|_*$ of X^* is W^*UR .*
2. *For each closed set $S \subset X$, and all $a, b \in S$, with $a \neq b$, we have*

$$\liminf_{\|x\| \rightarrow +\infty} (d_{[a,b]}(x) - d_S(x)) \geq 0. \quad (0.2)$$

3. *For all $a, b \in X$, with $a \neq b$, we have*

$$\liminf_{\|x\| \rightarrow +\infty} (d_{[a,b]}(x) - \min\{\|x - a\|, \|x - b\|\}) \geq 0. \quad (0.3)$$

4. *The norm $\|\cdot\|$ is UGD.*

Comment. We know that 1. \iff 4. (see the book by [Deville, Godefroy and Zisler](#)) and 4. \implies 2. (this is exactly our Proposition 4.2). Here we give **another** proof of the **latter** implication.

Proof. 1. \implies 2. : Suppose that the assertion 2. is not satisfied. Then there exist $\mu > 0$, a closed set $S \subset X$, and $a, b \in S$, with $a \neq b$, and a sequence $(x_n) \subset X$, with $\|x_n\| \rightarrow +\infty$ such that for n large enough

$$\lim_{\|x_n\| \rightarrow +\infty} (d_{[a,b]}(x_n) - d_S(x_n)) < -\mu. \quad (0.4)$$

Fix n sufficiently large satisfying (0.4). Let $p_n \in [a, b]$ such that $d_{[a,b]}(x_n) = \|x_n - p_n\|$. Fix $x_n^* \in -\partial d_{[a,b]}(p_n)$, so $\|x_n^*\| = 1$ for n large enough since $x_n \notin [a, b]$ according to $\|x_n\| \rightarrow +\infty$. Observe that, for all $p \in [a, b]$,

$$\langle -x_n^*, p - x_n \rangle \leq d_{[a,b]}(p) - d_{[a,b]}(x_n) = -d_{[a,b]}(x_n). \quad (0.5)$$

For all $p \in [a, b]$, it ensues that

$$\begin{aligned} \langle -x_n^*, p - p_n \rangle &= \langle -x_n^*, p - x_n \rangle + \langle -x_n^*, x_n - p_n \rangle \\ &= -d_{[a,b]}(x_n) + \|x_n - p_n\| \\ &= -d_{[a,b]}(x_n) + d_{[a,b]}(x_n) = 0. \end{aligned}$$

This and the inclusion $p_n \in]a, b[$ for n large enough (due (0.4)) $p_n \in]a, b[$ entail

$$\langle x_n^*, a - b \rangle = 0. \quad (0.6)$$

Further, from (0.5) we also have

$$\langle -x_n^*, p_n - x_n \rangle \leq -d_{[a,b]}(x_n) = -\|x_n - p_n\|$$

hence

$$\|x_n - p_n\| \leq \langle x_n^*, p_n - x_n \rangle. \quad (0.7)$$

On the onther hand, since $\|p_n - x_n\| - \|a - x_n\| \leq -\mu$, then, for all $a_n^* \in \partial\|\cdot - x_n\|(a)$, we have

$$\mu \leq \|a - x_n\| - \|p_n - x_n\| \leq \langle a_n^*, a - p_n \rangle. \quad (0.8)$$

Let $t_n \in]0, 1[$ be such that $p_n = t_n a + (1 - t_n)b$. Using relation (0.4), we find $s_1, s_2 \in]0, 1[$ such that for all n sufficiently large $s_1 < t_n < s_2$. As $a - p_n = (1 - t_n)(a - b)$, relation (0.8) ensures that

$$\frac{\mu}{1 - s_1} \leq \frac{\mu}{1 - t_n} \leq \langle a_n^*, a - b \rangle$$

which implies (via (0.6))

$$\frac{\mu}{1 - s_1} \leq \langle a_n^* - x_n^*, a - b \rangle. \quad (0.9)$$

On the other hand, relations (0.7) and (0.6) ensure that

$$\begin{aligned} \|p_n - x_n\| + \|a - x_n\| &\leq \langle x_n^*, p_n - x_n \rangle + \langle a_n^*, a - x_n \rangle \\ &= \langle x_n^*, t_n(a - b) + b - x_n \rangle + \langle a_n^*, a - x_n \rangle \\ &= \langle x_n^*, b - x_n \rangle + \langle a_n^*, a - x_n \rangle \\ &= \langle x_n^*, a - x_n \rangle + \langle a_n^*, a - x_n \rangle \\ &= \langle x_n^* + a_n^*, a - x_n \rangle \\ &\leq \|x_n^* + a_n^*\|_* \cdot \|a - x_n\|. \end{aligned}$$

Hence

$$\frac{\|p_n - x_n\| + \|a - x_n\|}{\|a - x_n\|} \leq \|x_n^* + a_n^*\|.$$

Thus

$$\|x_n^*\| = \|a_n^*\| = 1, \quad \lim_{n \rightarrow +\infty} \|x_n^* + a_n^*\|_* = 2 \quad (0.10)$$

and by (0.9) we have

$$\liminf_{n \rightarrow +\infty} \langle a_n^* - x_n^*, a - b \rangle \geq \frac{\mu}{1 - s_1}. \quad (0.11)$$

It results that relations (0.10) and (0.11) contradict the W*UR property of X^* .

2. \implies 3. : It is obvious.

3. \implies 4. : **NOTE THAT relation 3. ensures the Gâteaux differentiability of the norm. OK**

Suppose that the norm $\|\cdot\|$ is not UGD. Then there exist $h \in X$, with $\|h\| = 1$, $\varepsilon > 0$ and sequences $(u_n) \subset X$ with $\|u_n\| = 1$ for all n and $(t_n) \subset]0, +\infty[$ with $\lim_{n \rightarrow \infty} t_n = 0$ such that, for all $n \in \mathbb{N}$,

$$\frac{\|u_n + t_n h\| + \|u_n - t_n h\| - 2}{t_n} \geq \varepsilon \quad (0.12)$$

The proof of this implication is down in two steps.

Step1. For infinitely many integers n , the equality

$$\|u_n + t_n h\| = \|u_n - t_n h\|$$

holds true. Put $a = h$ and $b = -h$ and $x_n = \frac{u_n}{t_n}$. Then

$$\lim_{n \rightarrow +\infty} \|x_n\| = +\infty, \quad d_{[a,b]}(x_n) \leq \|x_n\|$$

and

$$\min\{\|x_n - a\|, \|x_n - b\|\} = \|x_n - a\|.$$

Relation (0.12) ensures that

$$\begin{aligned} d_{[a,b]}(x_n) - \min\{\|x_n - a\|, \|x_n - b\|\} &\leq \|x_n\| - \|x_n - a\| = \frac{1}{t_n} - \frac{1}{t_n} \|u_n - t_n h\| \\ &= \frac{1}{2} \left\{ \frac{2 - \|u_n - t_n h\| - \|u_n + t_n h\|}{t_n} \right\} \leq -\frac{\varepsilon}{2} \end{aligned}$$

and this contradicts the assumption 3.

Step2. The equality holds true only for a finite number of integers n . Without loss of generality, suppose that

$$\forall n \in \mathbb{N}, \quad \|u_n + t_n h\| > \|u_n - t_n h\|.$$

Applying Lemma 0.2, with $h = t_n h$ and $u = u_n$, there exists $s_n \in]0, t_n[$ such that

$$\|u_n + t_n h - s_n u_n\| = \|u_n - t_n h + s_n u_n\| \quad (0.13)$$

$$\frac{\|u_n + t_n h - s_n u_n\| - 1}{\|t_n h - s_n u_n\|} \geq \frac{\varepsilon}{8}. \quad (0.14)$$

Relations (0.13) and (0.14) assert that u_n and h are linearly dependent for at most a finite number of integers n (**THIS NEEDS TO BE ARGUED**). So we may assume that for all $n \in \mathbb{N}$, u_n and h are linearly independent.

Put $v_n = \frac{u_n}{t_n}$ and $x_n = (1 - s_n)v_n$. Two cases may occur:

Case I : $\liminf_{n \rightarrow +\infty} \|h - s_n v_n\| = 0$. Without loss of generality we may assume that $\lim_{n \rightarrow +\infty} \|h - s_n v_n\| = 0$. In this case, since $\|h\| = \|u_n\| = 1$,

$$\lim_{n \rightarrow +\infty} \frac{s_n}{t_n} = 1. \quad (0.15)$$

Put $a = \frac{3}{2}h$ and $b = -\frac{3}{2}h$. Apply Lemma 0.1, with $\beta := \frac{3}{2}$, $t := 1 - s_n$ and $v = v_n$, the last item of this lemma gives, with $s := 0$ in the first inequality of that item and $s := s_n$ in the second inequality,

$$\|(1 - s_n)v_n + \frac{3}{2}h\| \geq \|(1 - s_n)v_n + h\| + \frac{1}{2}\nu'(v_n; h)$$

and

$$\|(1 - s_n)v_n - \frac{3}{2}h\| \geq \|(1 + s_n)v_n - h\| + \frac{-1}{2}\nu'(v_n; h) - 2s_n\|v_n\|.$$

Taking into account relation (0.13), we obtain

$$\|x_n - a\| \geq \|(1 + s_n)v_n - h\| + \frac{-1}{2}\nu'(v_n; h) - 2s_n\|v_n\| \geq \|(1 + s_n)v_n - h\| - \frac{1}{2} - 2s_n\|v_n\| \quad (0.16)$$

and

$$\|x_n - b\| \geq \|(1 + s_n)v_n - h\| + \frac{1}{2}\nu'(v_n; h) \geq \|(1 + s_n)v_n - h\| - \frac{1}{2}. \quad (0.17)$$

Consequently

$$\min\{\|x_n - a\|, \|x_n - b\|\} \geq \|(1 + s_n)v_n - h\| - \frac{1}{2} - 2s_n\|v_n\|.$$

Since $d_{[a,b]}(x_n) \leq \|x_n\| = \frac{1-s_n}{t_n}$, relations (0.14) and (0.16) ensure that

$$\begin{aligned} d_{[a,b]}(x_n) - \min\{\|x_n - a\|, \|x_n - b\|\} &\leq \frac{1 - s_n}{t_n} - \|(1 + s_n)v_n - h\| + \frac{1}{2} + 2s_n\|v_n\| \\ &\leq -\frac{\varepsilon}{8}\|h - \frac{s_n}{t_n}u_n\| - \frac{s_n}{t_n} + \frac{1}{2} + 2s_n\|v_n\| \\ &= -\frac{\varepsilon}{8}\|h - \frac{s_n}{t_n}u_n\| + \frac{s_n}{t_n} + \frac{1}{2}. \end{aligned}$$

FROM THE LATTER NO DIRECT CONTRADICTION IS OBTAINED

Case II: $\liminf_{n \rightarrow +\infty} \|h - s_n v_n\| = \alpha > 0$. Without loss of generality we may assume that $\lim_{n \rightarrow +\infty} \|h - s_n v_n\| = \alpha$.

Put $\beta = 1 + \frac{\varepsilon\alpha}{16}$, $a = \beta h$ and $b = -\beta h$. Apply once again Lemma 0.1, with $s = s_n$ and $v = v_n$, and taking into account relation (0.13) we get from the last item of this lemma with $t := 1 - s_n$ and $s := s_n$,

$$\|x_n - a\| \geq \|(1 + s_n)v_n - h\| + (1 - \beta)\nu'(v_n; h) - 2s_n\|v_n\| \quad (0.18)$$

and

$$\|x_n - b\| \geq \|(1 + s_n)v_n - h\| + (\beta - 1)\nu'(v_n; h). \quad (0.19)$$

It ensues that

$$\min\{\|x_n - a\|, \|x_n - b\|\} \geq \|(1 + s_n)v_n - h\|(1 - \beta) - 2s_n\|v_n\|.$$

Since $d_{[a,b]}(x_n) \leq \|x_n\| = \frac{1-s_n}{t_n}$, relations (0.14) and (0.18) ensure that

$$\begin{aligned} d_{[a,b]}(x_n) - \min\{\|x_n - a\|, \|x_n - b\|\} &\leq \frac{1 - s_n}{t_n} - \|(1 + s_n)v_n - h\|(1 - \beta) + 2s_n\|v_n\| \\ &= -\frac{\varepsilon}{8}\|h - \frac{s_n}{t_n}u_n\| - \frac{s_n}{t_n} + (\beta - 1) + 2s_n\|v_n\| \\ &\leq -\frac{\varepsilon}{8}\|h - \frac{s_n}{t_n}u_n\| + \frac{s_n}{t_n} + \frac{\varepsilon\alpha}{16} \end{aligned}$$

HERE ALSO NO CONTRADICTION IS DIRECTLY OBTAINED

References

- [1] D. J. Ives, BUMP FUNCTIONS AND DIFFERENTIABILITY IN BANACH SPACES, Proceeding AMS, 129 (2001), 3583-3588.